# Introduction to Neural Networks

### Why ANN

- Some tasks can be done easily (effortlessly) by humans but are hard by conventional paradigms on Von Neumann machine with algorithmic approach
  - Pattern recognition (old friends, hand-written characters)
  - Content addressable recall
  - Approximate, common sense reasoning (driving, playing piano, baseball player)
- These tasks are often ill-defined, experience based, hard to apply logic

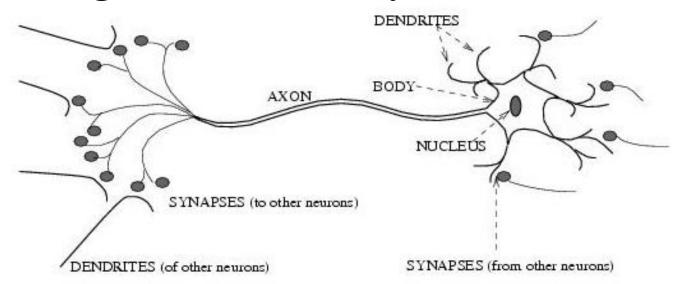
#### Von Neumann machine

- One or a few high speed (ns) processors with considerable computing power
- One or a few shared high speed buses for communication
- Sequential memory access by address
- Problem-solving knowledge is separated from the computing component
- Hard to be adaptive

#### **Human Brain**

- Large # (10<sup>11</sup>) of low speed processors (ms) with limited computing power
- Large # (10<sup>15</sup>) of low speed connections
- Content addressable recall (CAM)
- Problem-solving knowledge resides in the connectivity of neurons
- Adaptation by changing the connectivity

### Biological neural activity



- Each neuron has a *body*, an *axon*, and many *dendrites* 
  - Can be in one of the two states: *firing* and *rest*.
  - Neuron fires if the total incoming stimulus exceeds the threshold
- Synapse: thin gap between axon of one neuron and dendrite of another.
  - Signal exchange
  - Synaptic strength/efficiency

- What is an (artificial) neural network
  - A set of **nodes** (units, neurons, processing elements)
    - Each node has input and output
    - Each node performs a simple computation by its node function
  - Weighted connections between nodes
    - Connectivity gives the structure/architecture of the net
    - What can be computed by a NN is primarily determined by the connections and their weights
  - A very much simplified version of networks of neurons in animal nerve systems

# ANN Bio NN

#### • Nodes

- input
- output
- node function

#### Connections

connection strength

### Cell body

- signal from other neurons
- firing frequency
- firing mechanism
- Synapses
  - synaptic strength
- Highly parallel, simple local computation (at neuron level) achieves global results as emerging property of the interaction (at network level)
- Pattern directed (meaning of individual nodes only in the context of a pattern)
- Fault-tolerant/graceful degrading
- Learning/adaptation plays important role.

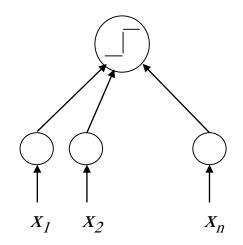
### • Pitts & McCulloch (1943)

- First mathematical model of biological neurons
- All Boolean operations can be implemented by these neuron-like nodes (with different threshold and excitatory/inhibitory connections).
- Competitor to Von Neumann model for general purpose computing device
- Origin of automata theory.

#### • Hebb (1949)

- Hebbian rule of learning: increase the connection strength between neurons i and j whenever both i and j are activated.
- Or increase the connection strength between nodes i and j whenever both nodes are simultaneously ON or OFF.

- Early booming (50's early 60's)
  - Rosenblatt (1958)
    - Perceptron: network of threshold nodes for pattern classification
       Perceptron learning rule



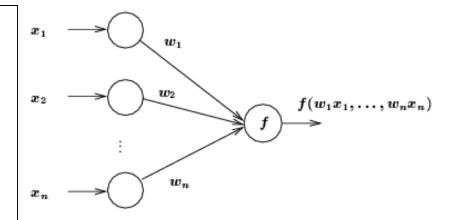
- Percenptron convergence theorem:
   everything that can be represented by a perceptron can be learned
- Widow and Hoff (1960, 19062)
  - Learning rule based on gradient descent (with differentiable unit)
- Minsky's attempt to build a general purpose machine with Pitts/McCullock units

- The setback (mid 60's late 70's)
  - Serious problems with perceptron model (Minsky's book 1969)
    - Single layer perceonptrons cannot represent (learn) simple functions such as XOR
    - Multi-layer of non-linear units may have greater power but there is no learning rule for such nets
    - Scaling problem: connection weights may grow infinitely
  - The first two problems overcame by latter effort in 80's, but the scaling problem persists
  - Death of Rosenblatt (1964)
  - Striving of Von Neumann machine and AI

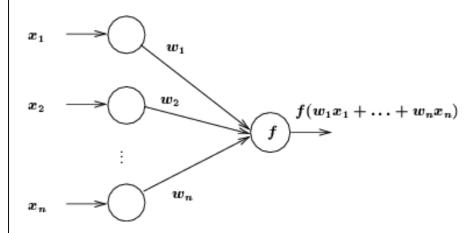
- Renewed enthusiasm and flourish (80's present)
  - New techniques
    - Backpropagation learning for multi-layer feed forward nets (with non-linear, differentiable node functions)
    - Thermodynamic models (Hopfield net, Boltzmann machine, etc.)
    - Unsupervised learning
  - Impressive application (character recognition, speech recognition, text-to-speech transformation, process control, associative memory, etc.)
  - Traditional approaches face difficult challenges
  - Caution:
    - Don't underestimate difficulties and limitations
    - Poses more problems than solutions

### **ANN Neuron Models**

- Each node has one or more inputs from other nodes, and one output to other nodes
- Input/output values can be
  - Binary {0, 1}
  - Bipolar {-1, 1}
  - Continuous
- All inputs to one node come in at the same time and remain activated until the output is produced
- Weights associated with links
- f(net) is the node function  $net = \sum_{i=1}^{n} w_i x_i$  is most popular



General neuron model



Weighted input summation

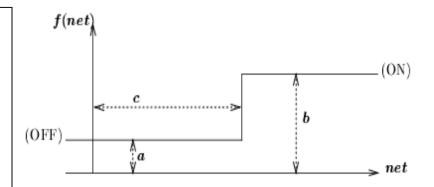
### **Node Function**

- Identity function: f(net) = net.
- Constant function: f(net) = c.
- Step (threshold) function

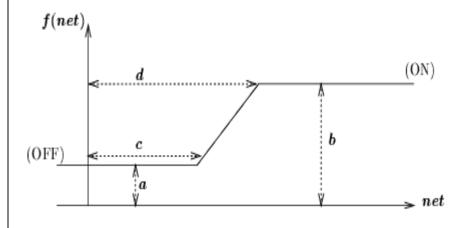
where c is called the threshold

Ramp function

$$f(\mathrm{net}) = egin{cases} a & ext{if net} \leq c \ b & ext{if net} \geq d \ a + rac{(\mathrm{net}-c)(b-a)}{(d-c)} & ext{otherwise} \end{cases}$$



Step function



Ramp function

### **Node Function**

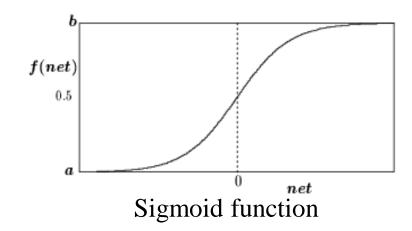
#### Sigmoid function

- S-shaped
- Continuous and everywhere differentiable
- Rotationally symmetric about some point (net = c)
- Asymptotically approach saturation points

$$\lim_{\mathrm{net} o -\infty} f(\mathrm{net}) = a \lim_{\mathrm{net} o \infty} f(\mathrm{net}) = b$$

– Examples:

$$f(\text{net}) = z + \frac{1}{1 + \exp(-x \cdot \text{net} + y)}$$
  
 $f(\text{net}) = \tanh(x \cdot \text{net} - y) + z,$ 



When 
$$y = 0$$
 and  $z = 0$ :  
 $a = 0$ ,  $b = 1$ ,  $c = 0$ .  
When  $y = 0$  and  $z = -0.5$   
 $a = -0.5$ ,  $b = 0.5$ ,  $c = 0$ .

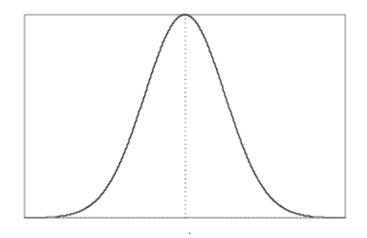
Larger x gives steeper curve

### **Node Function**

#### Gaussian function

- Bell-shaped (radial basis)
- Continuous
- f(net) asymptotically approaches
   0 (or some constant) when |net| is
   large
- Single maximum (when  $net = \mu$ )
- Example:

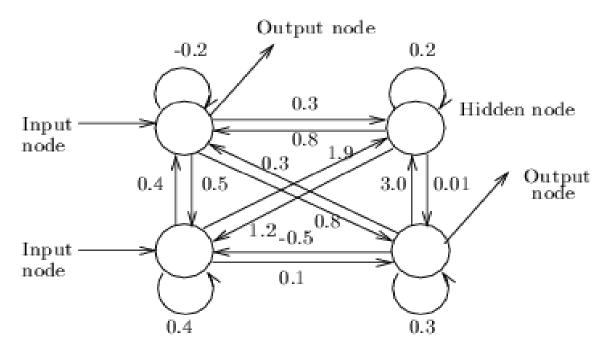
$$f(\mathrm{net}) = \frac{1}{\sqrt{2\pi}\sigma} \exp\left[-\frac{1}{2}\left(\frac{\mathrm{net}-\mu}{\sigma}\right)^2\right]$$



Gaussian function

### • (Asymmetric) Fully Connected Networks

- Every node is connected to every other node
- Connection may be excitatory (positive), inhibitory (negative), or irrelevant ( $\approx 0$ ).
- Most general
- Symmetric fully connected nets: weights are symmetric  $(w_{ij} = w_{ji})$



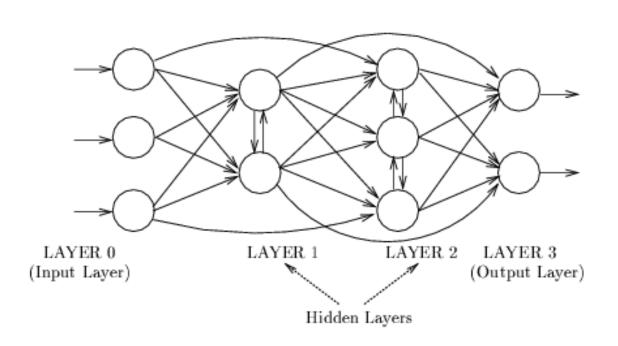
**Input nodes**: receive input from the environment

Output nodes: send signals to the environment

**Hidden nodes**: no direct interaction to the environment

### Layered Networks

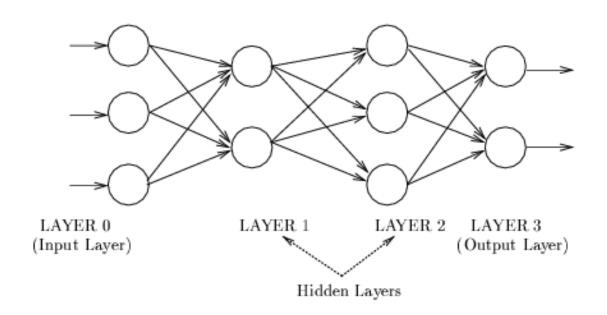
- Nodes are partitioned into subsets, called layers.
- No connections that lead from nodes in layer j to those in layer k if j > k.



- Inputs from the environment are applied to nodes in layer 0 (input layer).
- Nodes in input layer are place holders with no computation occurring (i.e., their node functions are identity function)

#### Feedforward Networks

- A connection is allowed from a node in layer i only to nodes in layer i+1.
- Most widely used architecture.



Conceptually, nodes at higher levels successively abstract features from preceding layers

### Acyclic Networks

- Connections do not form directed cycles.
- Multi-layered feedforward nets are acyclic

#### Recurrent Networks

- Nets with directed cycles.
- Much harder to analyze than acyclic nets.

#### Modular nets

- Consists of several modules, each of which is itself a neural net for a particular sub-problem
- Sparse connections between modules